Test Item Prioritizing Metrics for Selective Software Testing

Masayuki HIRAYAMA†, Nonmember, Osamu MIZUNO††, and Tohru KIKUNO††, Members

SUMMARY  In order to respond to the active market’s needs for software with various new functions, the system testing must be completed within a limited period. Additionally, important faults, which are closely related to essential functions for users or the target system, have to be removed, preferably in system testing.

Many techniques have been proposed to date for effective software testing. Among them, selective software testing is one of the most cost effective techniques. However, most of the previous techniques cannot be applied to short-term development and initial development of software with various new functions because much cost is needed for their testing preparation.

In this paper, we propose a new method for selective system testing in which priorities assigned to functions play an essential role in the execution of testing. The priorities are determined based on the evaluation results of three metrics for functions: the frequency of use, the complexity of use scenario, and the fault impact to users. Detailed testing instructions are assigned to test items with high priority, and short and ordinal instructions are assigned to those with low priority. The difference in the volume of testing instruction controls the effort of checking test items.

As a result of experimental application to actual software testing in a certain company, we have confirmed that the proposed selective system testing can detect both fatal faults related to key functions and critical faults for the system.

key words: Software testing, selective testing, prioritization

1. Introduction

As the number of software functions implemented in software has been increasing, two trends have formed in software development. The first trend is that the size of software has also been increasing and the structure of software has become more complex[9]. The second trend is that the period and resources for software development are usually limited. In particular, pressure to expedite the shipment of software systems has curtailed development.

Correspondingly, in order to assure software quality, software testing should be performed effectively. Software testing is generally divided into three phases: unit testing, build testing, and system testing. Among them, system testing checks the functional behavior of the target software system[1], [16], and thus is directly related to the users’ satisfaction. The growing complexity of software structure and the users’ desire for high quality have created great demand for more efficient system testing.

An approach toward attaining the demand is to select a certain part of test items and to reduce the number of test items to be tested[12]. This approach is very effective in reducing the time for testing. However, if test items are reduced without any consideration to operation by the user, several critical faults in the untested part might remain in the software related to an important function, and thus, the final quality of the software may become worse. Therefore, the determination of criteria in selecting the test items is a critical issue in selective testing[17].

The software development targeted in this study is the initial development of software open to the public. A typical example is the successive development of embedded software for a new series of cellular phones. Such software usually contains various functions and its development period is quite limited. Thus, the system testing plays an important role in assuring quality within a limited time. Although such software includes many functions, users do not always use all of the functions in the software to the same extent. In an extreme case, there may be several functions that are not used at all. On the other hand, some rarely activated functions may have important roles from the viewpoint of the system’s behavior. Considering these facts, software functions can be classified by applying two criteria or viewpoints: the use frequency of the function and functional importance. As a result, it is reasonable to select test items for functions that are important and frequently used.

Here, we review the previous techniques on reducing the number of test items. The previous techniques can be summarized into the following two types:

1. Test item selection from the user’s viewpoint
2. Test item reduction in regression testing

In type (1), the user’s operation and operational situation is considered. In order to generate and select test items for system performance evaluation, Musa et al. proposed the use of operational profiles[10], [11]. Operational profiling is a technique, which supports the decision on testing conditions based on the assumption of various user’s operational situations. On the other
hand, Kallepalli et al. proposed a statistical testing method using the execution logs for Web systems[8]. In their method, by applying the Unified Markov Model, the user’s scenario and operational procedure are statistically analyzed. Both the operational profile and Unified Markov Model refer to behavior logs in the actual execution or specification information such as control flow, and such logs are used to calculate the operations occurrence probability.

However, the operation modeling of the target system requires a large effort in actual development. Since the preparation of testing activity requires much time, it is not applicable to time limited development.

In type (2), test item reduction technique for regression testing has been studied. Rothermel et al. considered software safety factors and proposed a selection method of test items using the information on differences in several versions of the software or the information on testing coverage in the first version, and confirmed the effectiveness of their method[13],[14]. Related with this research, Harrold et al. proposed a cost-effectiveness evaluation method in regression testing using Rothermel’s method[7]. Elbaum et al. also proposed test item reduction in regression testing[3],[4]. They can control regression test items by evaluating statement coverage and functional coverage. Gupta et al. proposed a testing technique by applying the source code slicing to regression testing[6]. Binkley et al. used semantic differences that focus on the source code’s semantic view and tried to select test items[2]. Wong et al. proposed a test item reduction technique based on path coverage evaluation in system execution[18]. Thus, these techniques are mainly based on the idea of a difference in information among the various software versions, or on the idea of testing coverage and faults information. However, these ideas are only available in regression testing, applying them to newly developing software is difficult.

Since the aforementioned techniques require much data on testing activity and much effort in preparing the testing, they are not sufficient for system testing on newly developed software with a short development period. Thus, in this paper, we propose a new method for selective system testing, which is easily applicable to such system testing. The purpose of the proposed selective testing method is to achieve a stable detection of important faults that should be removed within a limited period. Here, “the important faults that should be removed” implies the following:

1. Faults that are related to frequently used functions for users or systems, or
2. Faults that may have critical influence on system reliability or system safety.

Faults of the first type are closely related to the functions that are frequently used by system users, or the functions that may cause serious disadvantages to users in case of abnormal behavior. We can evaluate these faults by using the concept of functional priority. On the other hand, regarding the second type of faults, we believe that such faults make the system run out of control. We can evaluate these faults by using the concept of severity. The definitions of functional priority and fault severity do not necessarily provide a specific relation between these two factors. That is, a function with high priority does not necessarily cause a critical fault with high severity.

In this paper, we assume that a list of functions and a list of test items for every function have already been constructed. This assumption is validated because the targeted software development usually prepares a list of functions in the design phase, and it is relatively easy to generate test items from the list of functions (however, the amount of test items usually becomes very large). In the proposed method, we prioritize the functions based on metrics by considering the use frequency and the impact of faults, and we append testing instructions, which enable effective testing based on the priority of each test item.

In order to confirm the effectiveness of the proposed method, we performed an experimental application to actual software testing, and evaluated the result of the experiment. As a result, our selective testing method achieved reliable detection of such faults relating to functions with high priority. Moreover, regarding critical faults relating to system safety and system reliability, as many such faults as possible were detected.

In this paper, the concept and basic idea of the selective testing method are presented together with examples showing the generation of test items using this method. In addition, the effectiveness of testing instructions for test items is also shown. The rest of this paper is organized as follows: In Section 2, we show an outline of the proposed selective testing method. The conventional testing method is briefly described in Section 2, too. In Section 3, the detailed procedure of the proposed method is explained by referring to an example of an actual development project. Section 4 shows an experiment by applying the proposed method to actual software testing. The results of the experiment are also evaluated in Section 4. Finally, Section 5 concludes this paper.

2. Proposed Method

The proposed selective testing method tries to detect as many faults that have a serious influence on users as possible in a limited period of testing. Since the testing period is quite limited, we have to develop a straightforward method to apply to the actual testing. To do so, we introduce several metrics to determine the priority of functions. On prioritizing, we mainly focus on the user’s viewpoint by considering the properties of the target software. Before discussing our selective
testing method, we summarize the conventional testing method in the next subsection.

2.1 Conventional testing method

Figure 1 shows the main procedure of the conventional testing method. As the figure illustrates, in the conventional testing method, test engineers confirm the functional requirements described in a specification document sequentially[12]. In the first step, test items are extracted in sequential order of functional requirements in the specification document. Next, testing is conducted in the order of test items described in the test specification document. Thus, during the conventional testing method, test items themselves are neither evaluated nor selected.

Figure 2 and Table 1 show an example of a software specification document and a test specification document, respectively. These are example documents of a Railway Transfer Information System (RTIS), which graphically describes the railway’s transferring information for a certain district. The software specification document in Figure 2 describes the functional specifications for railway registration and station registration, including the attribute inputs for railways and stations. A test engineer constructed the test specification document according to the software specification document. In the test specification document, items for confirmation are listed in the order of functional requirements in the software specification document.

2.2 Outline of the proposed method

The proposed selective testing method consists of three phases: functional priority assignment, test instruction assignment, and testing. Figure 3 shows the outline of the proposed method.

First, a specification for testing is constructed by the conventional method mentioned in subsection 2.1. The next functions that the target system provides are first prioritized from various viewpoints. In the test instruction assignment phase, detailed testing instructions are appended for functions with a higher testing priority. On the contrary, for functions with low priority, simple test instructions are appended. The priority of test items inherits the priority of the function's

Table 1 Test items by conventional method

<table>
<thead>
<tr>
<th>Function’s name</th>
<th>Testing item</th>
<th>Expected result of testing</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Register name and color</td>
<td>Select “Register railway’s name” from the right-click menu, then select “New” from the railway registration dialog, and press “OK”.</td>
<td>The name “JR1” is registered and shown.</td>
</tr>
<tr>
<td>2. Change color</td>
<td>Select “New route” from the right-click menu, then select “Color...” from the railway registration dialog, and press “OK”.</td>
<td>The color of the route and the stations in the route becomes transfer stations.</td>
</tr>
<tr>
<td>3. Connect</td>
<td>Select “New route” from the right-click menu, then select “New station...”.</td>
<td>The new route registration dialog is shown.</td>
</tr>
<tr>
<td>4. Release the left button</td>
<td>Click on a route, select “Color...” from the pop-up menu, then press “OK” button on the color dialog.</td>
<td>The name of railway is changed to “JR2”.</td>
</tr>
<tr>
<td>5. Change railway corp.</td>
<td>Select “Register new railway” button from the railway selection dialog.</td>
<td>The new railway registration dialog is shown.</td>
</tr>
<tr>
<td>6. Create a new route</td>
<td>Select “Register railway’s name” from the right-click menu, then select “New” from the railway registration dialog, and press “OK”.</td>
<td>The new railway registration dialog is shown.</td>
</tr>
<tr>
<td>7. Close the dialog.</td>
<td>Select “New route” from the right-click menu, then select “Color...” from the pop-up menu, then press “OK” button on the color dialog.</td>
<td>The name of railway is changed to “JR2”.</td>
</tr>
<tr>
<td>8. Release the left button.</td>
<td>Select “Register railway’s name” from the right-click menu, then select “New” from the railway registration dialog, and press “OK”.</td>
<td>The name of railway is changed to “JR2”.</td>
</tr>
<tr>
<td>9. Disconnect</td>
<td>Select “New route” from the right-click menu, then select “Color...” from the pop-up menu, then press “OK” button on the color dialog.</td>
<td>The name of railway is changed to “JR2”.</td>
</tr>
<tr>
<td>10. Release the left button.</td>
<td>Select “Register railway’s name” from the right-click menu, then select “New” from the railway registration dialog, and press “OK”.</td>
<td>The name of railway is changed to “JR2”.</td>
</tr>
<tr>
<td>11. Disconnect</td>
<td>Select “New route” from the right-click menu, then select “Color...” from the pop-up menu, then press “OK” button on the color dialog.</td>
<td>The name of railway is changed to “JR2”.</td>
</tr>
<tr>
<td>12. Release the left button.</td>
<td>Select “Register railway’s name” from the right-click menu, then select “New” from the railway registration dialog, and press “OK”.</td>
<td>The name of railway is changed to “JR2”.</td>
</tr>
</tbody>
</table>
tion. By changing the detailed level of test specifications, the quality and quantity of software testing can be controlled. Thus, we can effectively utilize the time for the testing and obtain maximum reliability in a limited time. Finally, in the testing phase, testing instructions are executed. In the proposed selective testing, the functions with higher priority are checked with a preference over those with lower priority.

3. Detailed Procedure

3.1 Step 1: Prioritization of functions

(a) Metrics

The aim of the proposed selective testing method is the stable detection of faults relating to important functions for users and systems. Thus, in the first step of our method, we extract important functions for users and systems. In order to extract important functions, our method pays attention to the user’s viewpoint and adopts three metrics: “the frequency of use,” “the complexity of use scenario,” and “the fault’s impact to users.” At this point, these metrics are considered to be sufficient for the proposed selective testing method based on the developers’ experience and interviews with them.

An important function for users is the function that many users frequently operate with important data, or a function that has a large impact on many people if they encounter a problem[10]. Therefore, we evaluate two metrics, “the frequency of use” and “the fault impact to users” based on the assumption of a problem. The frequency of use was adopted as a test item selection viewpoint in Musa’s Operational profiles[10]. The metric for the fault impact to users was used as a reliability evaluation metric for release version software in Musa’s method although this metric was not used as a metric for test item selection.

On the other hand, functions with complicated user operations tend to lead to errors of operation by the user. In addition, these types of software may not be sophisticated and may tend to contain many complex modules. Therefore, in our method, we also evaluate “the complexity of use scenario.” This metric is introduced according to the engineers’ experience in the company.

(b) Evaluation

In priority assignment to a function, the priority for each function is quantitatively evaluated using the metrics mentioned above. We think that easy selection of test items is one of the most important factors in this method. In order to protect ease of selection, the proposed method does not require other documents for testing that are newly developed, nor does the method require other works for system behavior evaluation.

By using the existing document and evaluating current functions subjectively, we can easily prioritize the functions to be tested. However, if we adopt a completely subjective criteria, the result of evaluation may become vague. Therefore, we have prepared the evaluation guidelines for each metric as summarized in Table 2. (The notation of this table is based on the reference[5].) With this evaluation guideline, the result of the evaluation can be justified to a certain degree. For example, the use frequency is evaluated as follows: If a function is used 1 time per a month, then the metric is evaluated as 1. Similarly, if a function is used 1 time per a day or 1 time per an hour, then the metric is evaluated as 5 or 8, respectively.

Each metric has a value from 1 to 10. The priority for each function is calculated from the values of these three metrics. The priority of test items inherits the priority of the function.

In order to evaluate the testing priority as simply as possible, we have integrated these 3 metrics into 1 priority metric. To do so, we used the Analytical Hierarchy Process(AHP)[15], a methodology used in decision making for selecting the best among a set of alternatives, to select the strategy for metrics integration. The overview of the strategy selection is as follows:

1. Prepare 3 metrics \( M_1 \) (Complexity of use scenario), \( M_2 \) (Fault impact to users), and \( M_3 \) (Use frequency).
2. Prepare the following 3 strategies of priority calculation using metrics \( M_1 \), \( M_2 \), and \( M_3 \), which are applicable in the actual software testing.

   \( S_1 \) Non-weighted metrics summation
   \( S_2 \) Weighted summation with respect to the customer’s loss
   \( S_3 \) Weighted summation with respect to the internal software quality
3. Do pairwise comparisons on importance between all metrics pairs \((M_1, M_2), (M_2, M_3),\) and \((M_3, M_1)\). Relative weights for \(M_1\), \(M_2\), and \(M_3\) are calculated.

In this study, we asked for 3 developers in our company to perform AHP\(^1\). According to the result of 3 developers’ discussion, the weights for \(M_1\), \(M_2\), and \(M_3\) are determined as \(1/3\), \(1/3\), and \(1/3\), respectively. This means that these 3 developers considered 3 metrics, \(M_1\), \(M_2\), and \(M_3\), have the same importance for system testing.

4. For the metric \(M_1\), do pairwise comparisons with strategies \(S_1\), \(S_2\), and \(S_3\) asking in which strategy \(M_1\) is considered important. Consequently, contributions of strategies for the metric \(M_1\) is calculated. Similarly, contributions of metrics \(M_2\) and \(M_3\) to 3 strategies are calculated.

In this study, the 3 developers also evaluated the contributions of metrics to each strategy. For example, contribution of \(M_1\) to strategy \(S_1\) is evaluated as 0.2. Table 3 shows the evaluated contributions for all pairs of metric and strategy.

5. By multiplying the weights and contributions, the scores of supporting strategies \(S_1\), \(S_2\), and \(S_3\) are calculated. For example, in the case of \(S_2\), the score is calculated by \(0.2 \times 1/3 + 0.6 \times 1/3 + 0.7 \times 1/3 = 0.5\). Similarly, the scores for \(S_1\) and \(S_3\) are calculated as 0.2 and 0.3, respectively. Consequently, since the score of strategy \(S_2\) is the highest, strategy \(S_2\) is selected for prioritization of test items.

As a result, the prioritization was performed according to the strategy \(S_2\). In \(S_2\), the weights for metrics \(M_1\), \(M_2\), and \(M_3\) are 0.2, 0.6, and 0.7, respectively. By normalizing so that the sum of all weights becomes 1.0, we obtained the following formula to calculate the priority of test items:

\[
P(M_1, M_2, M_3) = 0.13 \times M_1 + 0.40 \times M_2 + 0.47 \times M_3
\]

3.2 Step 2: Assignment of detailed instructions

In the conventional testing, the granularity of test items depends mainly on the description of the product’s specification document. So, in many cases, the granularity of the test items does not reflect the testing priorities from the user’s viewpoints. In the proposed method, we generate the instructions for testing according to the test priorities for functions, apart from the granularity of each function’s specification. That is, testing instruction is clearly described for the test items with high priority. On the other hand, for the test items with low priority, the instruction directs the testing of only the behaviors that are explicitly described in the specification document. With these specifications, the quality and quantity of testing is controlled, and dependence on the skill of the testing operator can be avoided.

3.3 Case Study

In this subsection, an example of priority assignment for functions from the user’s viewpoint, and instructions for test items are shown by using the case study of the specification of a railway transfer information system (RTIS), which was shown in subsection 2.1.

(a) Test priority

Table 4 shows the calculated priority for each function in RTIS. In the priority calculation, we evaluated the frequency of use, the complexity of use scenario, and the fault impact to users.

---

\(^1\)In order to perform AHP, we used a tool, AHPNavi\(^TM\), developed by TOSHIBA Corporation.
In addition, for this application experiment, functions having a calculated score of 5.0 or higher are regarded as high priority functions. Similarly, those having a score ranging from 2.5 to 5.0 are regarded as medium priority functions, and those having 2.5 or smaller as low priority functions.

Since we performed the experiment in actual software development, we had to choose the most practical selection of test items. That is, we had to increase the number of test items to be tested to prevent missing critical faults. That’s why we expanded the range of high priority functions to twice as large as those of medium and low priority functions.

For example, the function ID. 5 is a function that registers a condition for railway route connection at each station. Concerning this function, the complexity of use scenario is 2, the impact of a fault is 9, and the frequency of use is 8. The priority score of this function is calculated as 7.6. From this score, this function is regarded as a high priority function.

(b) Testing instruction

First, the expected behavior and the results of target functions are described in the test specifications, and the required operation for the behavior and results are also described by the conventional method. Table 1 shown in Section 2 is an example of test specifications that are generated from the behavior of the RTIS.

Next, based on the assigned priority, testing instructions are appended to test items for high priority functions in the selective testing method. These instructions are appended so that engineers can notice the priority of each test item. Of course, by specifying detailed instructions by the experienced engineers, the efficiency of testing will be improved. For example, engineers are instructed to check illegal behaviors of the software, to check for unexpected input characters for a dialog, and so on. For low priority functions, on the other hand, only simple instructions are assigned. Table 5 shows a portion of a test specification document used for the RTIS. In this document, taking into account the test priorities for each function, detailed instruction for high priority test items are added in the test specification document.

For example, test items with ID. 2 have a high priority, and therefore testing instructions are given to them. On the other hand, the test items with ID. 4, which is for a function with low priority, are given only a simple instruction, “Check only basic behaviors.”

4. Application to Software Testing

In this section, we will show the actual application to another software system that is under construction.

4.1 Characteristics of target software

We applied the proposed selective testing method to the unit testing support tool (UTST), which is an actual application software developed in a certain company. The UTST is a software tool that has functions to select testing targets, functions to support automatic test data generation, and functions to support stub driver generation.

The overall architecture of the UTST is shown in Figure 4. The UTST consists of the Parse part, the GUI part, and the Stub & Driver Generation part. In the GUI part, five windows are implemented. The main window of this tool is the “Project window,” and it has a list of functions to be tested. By using the “Generation pattern window” and the “Value setting window,” the criteria and patterns for generating test items are changed, and automatically generated test items are shown in the “Test items window”.

The main specifications of this software are as follows:

Language: C language.
Size of software: About 30000 LOC.
Degree of reuse: All newly developed.

4.2 Purpose

An example of test specification generation, taking into account functional priority using the selective testing method, was shown in subsection 3.3. In this subsection, an experiment is shown, in which the generated test specification document is actually used. For the experiment, two independent testing teams, Team-A and Team-B, were organized in order to verify the effectiveness of the proposed method. Team-A used a test specification document prepared by the conventional method, and Team-B used a document prepared using the selective testing method described in Sections 2 and 3.

In this experimental application, the evaluation fo-
In determining priority, does any difference arise in
the detection of critical faults related to reliability and safety?

Q3) Are the faults that should be removed before system shipment (faults in functions with higher priority and faults in functions essential to system reliability) definitely detected?

4.3 Application procedure

(a) Testing teams

We compared the tendency of fault detection by the two Teams A and B. Testing specifications that Team-B used contained testing instructions for test items related to high priority functions and simple instructions for test items related to low priority functions. The meaning of these testing instructions was explained in advance to the engineers who participated in this experiment. Thus, the engineers understood that the instructions had been prepared so as to reflect the test priorities for each test item. In order to avoid deviation due to the engineers’ skills, engineers having almost the same technical experience and skill were assigned to this experiment. The similarity of technical experience and skill among engineers was mainly evaluated by the number of years each engineer had worked, and the individual degree of technical knowledge.

Moreover, it should be noted that another group of engineers designed and implemented the target software other than these two groups. In addition, an engineer...
(here, we call the engineer experiment coordinator) who prepared the specifications of the target software coordinated the entire experiment. In this experiment, the experiment coordinator was needed to make the experimental environment for each testing team as same as possible. In order to show the difference between the methods used by Teams A and B, the experiment coordinator prepared test specifications for both teams.

Note that the experiment coordinator is not needed in actual application of proposed selective testing since one of the testing staff can act as an experiment coordinator. It is also noted that an engineer who appends instructions is not necessarily experienced since the main objective of an instruction for a test item is to notify the priority of the test item to the testing team according to the detailedness of the instruction.

The overview of the experiment is shown in Figure 5.

(b) Generating test items

During the experiment, Team-A and Team-B performed testing independently. Test items generated for the experiment included 32 items for high priority functions, 50 items for medium priority functions, and 54 items for low priority functions. A part of the prioritized functions are shown in Table 6.

As was explained in subsection 3.3, the coordinators appended the testing instructions to the test items for the highly prioritized functions. As a result, we obtained the test items for Team-B as shown in Table 7.

The faults detected by each team were summarized and fed back to the engineer who developed the software in order to correct and debug.

Table 6 A part of prioritized functions

<table>
<thead>
<tr>
<th>Function's ID</th>
<th>Function's Name (From UTST specification)</th>
<th>Complexity of manipulation (M1)</th>
<th>Fault impact to users (M2)</th>
<th>Frequency of use (M3)</th>
<th>Priority</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>Refresh the project window and update information</td>
<td>9</td>
<td>8</td>
<td>5</td>
<td>6.9 High</td>
</tr>
<tr>
<td>2</td>
<td>Open a project</td>
<td>10</td>
<td>8</td>
<td>5</td>
<td>6.9 High</td>
</tr>
<tr>
<td>42</td>
<td>Show results at a glance in the test case window</td>
<td>7</td>
<td>5</td>
<td>4</td>
<td>5.7 High</td>
</tr>
<tr>
<td>1</td>
<td>Newly create a project</td>
<td>9</td>
<td>8</td>
<td>5</td>
<td>5.1 High</td>
</tr>
<tr>
<td>34</td>
<td>Dialog to set detailed values</td>
<td>5</td>
<td>6</td>
<td>7</td>
<td>6.3 High</td>
</tr>
<tr>
<td>23</td>
<td>Show the generating pattern window</td>
<td>7</td>
<td>6</td>
<td>5</td>
<td>5.7 High</td>
</tr>
<tr>
<td>12</td>
<td>Create a function in the project window</td>
<td>2</td>
<td>3</td>
<td>2</td>
<td>2.4 Low</td>
</tr>
<tr>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
</tbody>
</table>

Table 7 A part of test items for Team-B

<table>
<thead>
<tr>
<th>Function's ID</th>
<th>Function's Name</th>
<th>Testing item</th>
<th>Detailed instruction (if any)</th>
<th>Expected result of testing</th>
</tr>
</thead>
<tbody>
<tr>
<td>12</td>
<td>Delete a function in the project window</td>
<td>Delete a function in the project window from pop-down menu.</td>
<td>A node of the function should be deleted.</td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Create a function in the project window</td>
<td>Show the generating pattern window</td>
<td>Invocate the generating pattern window from menu.</td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Create a function in the project window</td>
<td>Open an existing pattern file or create it newly.</td>
<td>(1) Pattern generation window is shown. (2) Name of pattern file is shown in the title bar. (3) The stub and real module can be chosen.</td>
<td></td>
</tr>
</tbody>
</table>

4.4 Evaluations

(a) Time for testing

As for the time required for the actual testing in this experimental application, no significant difference existed between Team-A (the conventional method) and Team-B (the selective method). However, an additional three hours were required for the coordinating group to prepare detailed testing specifications by taking into account the priority of the functions. Since this additional time was shorter than 10 percent of the total time required for the entire testing, we considered this additional time acceptable.

(b) Fault detection

The result of Team-B obtained from the experimental application is shown in Table 8(a). Each row shows a test item with its priority. A test item is labeled such as 2-(13). The first number shows function’s ID and the second number shows serial number of test item in the function. Each column shows a fault detected by the proposed selective testing. For example, the first fault is detected by test item 2-(13). We can see that test item 12-(3) detected 4 faults and one of these faults...
The seriousness of faults means the seriousness if the fault occurs. The seriousness is classified into the following three levels: high, medium, and low. The priority “High” is assigned for a score of 5 or higher, the priority “Medium” for a score ranging from 2.5 to 5, and the priority “Low” for a score of 2.5 or smaller. The “seriousness of faults” means the seriousness if the fault occurs. The seriousness is also classified into the following three levels [9]:

Critical: Faults that seriously deteriorate system reliability or product safety, for instance, a fault which makes the system run out of control or halt altogether.

Major: Faults whose impacts are smaller than the above and are limited to a portion of the system’s behavior.

Minor: Faults whose impacts are relatively small, which allow many users to continue the operation without any troubleshooting, for instance, a fault such as a simple indicator error.

Table 8 shows the classification of faults detected in the proposed selective method. The columns show classification by the seriousness of faults. That is, Team-B detected 2 critical, 6 major, and 14 minor faults during their testing. On the other hand, the rows show the priority of test items by which faults are first detected. That is, 11 faults are detected by the test items with a high priority, and 5 and 6 faults are detected by the test items with a medium and low priority, respectively.

When test items related to high priority functions were tested, Team-A, using the conventional method, detected no “critical” faults, 4 “major” faults, and 1 “minor” fault (as shown in Table 9). On the other hand, Team-B, using the selective testing method, detected 1 “critical” fault, 5 “major” faults, and 5 “minor” faults.

(c) Detected faults related to high priority functions

As mentioned in subsection 3.1, the priority of test items inherits the priority of the function. Thus, faults detected by test items with a high priority related to functions with a high priority.

As far as the number of detected faults related to high priority functions are concerned, 5 faults in total were detected using the conventional method and including all seriousness levels, as shown in Table 9. On the other hand, 11 faults were detected in total by the selective method. For this experimental application, a function with high priority means that the frequency of its use is very high, faults related to the function cause critical damage if they occur, or the use scenario is complicated and numerous faults may easily be incorporated.

Faults for high priority functions for users must be detected and removed, without any exception, even if they are trivial. From this viewpoint, the fact that the selective method detected more faults for high priority functions is significant. For this experimental application, testing instructions were provided to the testing operators for testing high priority functions with care. These instructions were considered effective for detecting faults.

(d) Detection of critical faults

Regarding the detection of serious faults, the conven-
tional method detected no “critical” faults as shown in Table 9. On the other hand, the selective method detected 2 “critical” faults, including one fault detected from the testing of a high priority test item as shown in Table 8(b). This result shows the effectiveness of the testing instructions for high priority test items. However, the other fault was detected from the test of a low priority test item. By examining the test specifications related to this fault, we found that this fault originated from a test item which partly overlaps another test item for high priority functions performed just prior to this testing. Thus, this fault cannot necessarily be recognized as a fault that was detected in a low priority test item. Therefore, the selective method’s ability to detect “critical” faults can be considered superior to that of the conventional method.

(e) Faults that should be removed

Considering the above discussions, we examined how completely the testing methods detect the faults that must be removed before shipment. Here, the faults that must be removed mean:

(1) Faults that are related to important functions for users or the target system.
(2) Faults that have a critical influence on other systems from the viewpoint of reliability or safety.

The faults in the dark gray area in Tables 8(b) and 9 must be removed since they are related to both definitions (1) and (2). Similarly, since faults in the medium gray area are related to either (1) or (2), they should preferably be removed. However, faults in the light gray area have no relation to (1) and (2). Detection of these faults is unnecessary.

Thus, faults were classified into the following three categories and summarized in Table 10 as:

<table>
<thead>
<tr>
<th>Type</th>
<th>Responsible</th>
<th>Team-A</th>
<th>Team-B</th>
</tr>
</thead>
<tbody>
<tr>
<td>R</td>
<td>Must be removed</td>
<td>4</td>
<td>6</td>
</tr>
<tr>
<td>P</td>
<td>Preferably be removed</td>
<td>1</td>
<td>6</td>
</tr>
<tr>
<td>T</td>
<td>Trivial faults</td>
<td>13</td>
<td>10</td>
</tr>
</tbody>
</table>

Table 10 shows that the selective method or Team-B detected 6 Type-R faults (that is, 1 + 5 + 0), whereas the conventional method or Team-A detected 4 (that is, 0 + 4 + 0). Moreover, concerning the Type-P faults, the selective method detected 6 faults, whereas the conventional method detected 1. The number of Type-R and Type-P faults detected by the selective method was 12, whereas 5 faults were detected by the conventional method. The selective method (Team-B) showed a fault detection ability about two times higher than that of the conventional method (Team-A). As a result, the selective testing method is considered to be effective for detecting faults that must be or should preferably be removed before the system shipment.

5. Conclusion

This paper reported on a selective testing method that enables effective testing. The purpose of the selective testing method is to effectively detect the faults that must be or should preferably be removed. In order to accomplish this purpose, functions to be tested are prioritized from various viewpoints, and the testing operation is controlled by using the testing specifications containing testing instructions reflecting the priority.

The overall steps of the selective testing method are outlined, and the effectiveness of the method is experimentally confirmed. In the experimental application, functions to be tested were prioritized from the users’ viewpoint, and the testing instructions were provided in the test specification document. By adopting the selective method, the faults that must be or should preferably be removed were successfully detected.

Currently, the proposed method has actually been applied to software development of for Web cellular phones in a certain company. Although we have not obtained quantitative data yet, the developers stated that test activity has greatly facilitated their work. Furthermore, since the proposed method took only a few hours to generate testing instructions, applying this method to practical software testing is quite easy. In future work, we intend to confirm the effectiveness of the proposed method quantitatively.

In the future, we also intend to investigate the prioritizing method for functions or test items from other viewpoints, and we will try to confirm the effectiveness of the method by applying it to the software testing of actual developments.

References


Osamu Mizuno received M.E. and Ph.D. degrees from Osaka University in 1972 and 1975, respectively. He joined Hiroshima University from 1975 to 1987. Since 1990, he has been a Professor of the Department of Information and Computer Sciences at Osaka University. Since 2002, he has been a Professor of Graduate School of Information Science and Technology at Osaka University. He also holds a Director of Osaka University Nakanoshima Center from 2004. His research interests include the analysis and design of fault-tolerant systems, the quantitative evaluation of software development processes, and the design of procedures for testing communication protocols.

Tohru Kikuno received M.Sc. and Ph.D. degrees from Osaka University in 1972 and 1975, respectively. He joined Hiroshima University from 1975 to 1987. Since 1990, he has been a Professor of the Department of Information and Computer Sciences at Osaka University. Since 2002, he has been a Professor of Graduate School of Information Science and Technology at Osaka University. He also holds a Director of Osaka University Nakanoshima Center from 2004. His research interests include the analysis and design of fault-tolerant systems, the quantitative evaluation of software development processes, and the design of procedures for testing communication protocols.

Masayuki Hirayama received B.E. and M.E. degrees from Waseda University in 1984 and 1986, respectively. He also received Ph.D. degree from Osaka University in 2003. He has been working at R&D center, Toshiba corporation since 1986. He is currently a senior research scientist in the Software Engineering Center at Toshiba Corporation. His research interests include the software validation and verification technique.